

PTO/SB/01a (10-00) Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)			
TITLE OF INVENTION:	METHODS OF DETECTING NEUROLOGICAL DISORDERS		
As the below named inver	tor(s), I/we declare that:		
This declaration is directed	i to:		
☐ The attached application, or ☐ Application No. 10/809,777 filed on March 24, 2004.			
	as amended on (if applicable);		
I/we believe that I/we am/a	are the original and first inventor(s) of the subject matter which is claimed and for which a		
I/we have reviewed and up by any amendment specif	nderstand the contents of the above-identified application, including the claims, as amended cally referred to above;		
me/us to be material to pa	to disclose to the United States Patent and Trademark Office all information known to tentability as defined in 37 CFR 1.56, including material information which became g date of the prior application and the national or PCT International filing date of the ation, if applicable; and.		
are believed to be true, ar	in of my/our own knowledge are true, all statements made herein on information and belief ad further that these statements were made with the knowledge that willful false statements be by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of entissuing thereon.		
FULL NAME OF INVENTO	DR(S)		
Inventor one: MUCKE, LI	ENNARI		
Signature:	Citizen of: USA		
Signature:	Citizen of: USA SPAIN		
Inventor three:			
Signature:	Citizen of:		
Additional inventors are being	ng named on < <text>> additional form(s) attached hereto.</text>		

Burden Hour Statement: This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is used by the public to file (and the PTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 1 minute to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450 Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450.

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JUL 0 2 2004 TRADENANT

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This declaration is directe	d to:		
	The attached applic Application No. 10/6	ation, or 109,777, filed on <u>March 24, 2004</u>	
	as amended on	(if applicable);	
I/we believe that I/we am/ patent is sought;	are the original and first inventor(s	s) of the subject matter which is claimed and for which a	
I/we have reviewed and u by any amendment specif	nderstand the contents of the abo	ve-identified application, including the claims, as amended	
meras to be material to ba	g date of the prior application and	Patent and Trademark Office all information known to .56, including material information which became I the national or PCT International filing date of the	
are pendadd to be find' at	e by fine or imprisonment, or both	rue, all statements made herein on information and belief ere made with the knowledge that willful false statements , under 18 U.S.C. 1001, and may jeopardize the validity of	
FULL NAME OF INVENTO	DR(S)		
Inventor one: MUCKE LE Signature:	and Muche	Citizen of: USA	
Inventor two: PALOP, JO	RGF.J		
Signature:		Citizen of: USA	
Inventor three:	-		
Signature:		Citizen of:	
Additional Inventors are being	named on < <text>> additional form(s) at</text>	tached hereto.	

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SEND TO: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450.

JUL 0 2 2004 CA

Attorney Docket No. UCAL-280 Client Reference No. 2002-372-2

Applicant:

MUCKE, LENNART et al.

Serial No.

10/809,777

Filed:

March 24, 2004

For:

"METHODS OF DETECTING NEUROLOGICAL DISORDERS"

Group:

1614

Examiner:

To Be Assigned

POWER OF ATTORNEY BY ASSIGNEE AND EXCLUSION OF INVENTOR(S) UNDER 37 C.F.R. § 3.71

Dear Sir:

The undersigned assignee of the entire interest in the above-identified subject application herby appoints practitioners related to customer number:

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24353

as its attorney(s) to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith, said appointment to be to the exclusion of the inventors and their attorney(s) in accordance with the provisions of 37 C.F.R. § 3.71.

An assignment of the entire interest in the above-identified subject application:

was recorded on at reel/frame /.

V

is submitted herewith for recording.

Please direct all telephone calls to Paula A. Borden at (650) 833-7710 and all correspondence relative to said application to the following address:

BOZICEVIC, FIELD & FRANCIS LLP

200 Middlefield Road, Suite 200 Menlo Park, California 94025

Dated:

SIGNEE: THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

Signature:

Typed Name:

anda S. Stevenson

Title:

Manager, Patent Prosecution

Office of Technology Transfer 1111 Franklin Street, 12th Floor Oakland, California 94607-5200

Form PTO-1695 (Rev. 10/02) OMB No. 0651-0021 (RXD-005)

RECORDATION FORM COVER SHEET PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office Atty Docket No.: UCAL-280

TRAUCIE			
To the Honorable Commissioner of Patents and Trademarks: Pl	ease record the attached original documents or copy thereof.		
1. Name of conveying party(ies): MUCKE, LENNART PALOP, JORGE J.	2. Name and address of receiving party(ies) Name: The Regents of the University of California Internal Address: 1111 Franklin Street 12 th Floor Street Address: City: Qakland State: CA Zip: 94607-5200		
Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No 3. Nature of conveyance:	·		
☐ Assignment ☐ Merger ☐ Security Agreement ☐ Change of Name ☐ Other			
Execution Date: <u>June 22, 2004 and May 16, 2004</u> .	Additional name(s) & address(es) attached? ☐ Yes ☒ No		
4. Application number(s) or patent number(s): If this document is being filed together with a new application, the A. Patent Application No.(s)	execution date of the application is: B. Patent Registration No.(s)		
10/809,777			
Additional number(s) attached Yes No			
Name and address of party to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved 1		
Name: <u>Bozicevic, Field & Francis LLP</u>	7. Total fee (37 CFR 3.41)\$40.00		
Internal Address: 200 Middlefield Road, Suite 200	Authorized to be charged to deposit account		
City: Menlo Park State: California Zip: 94025	8. Deposit account number:		
·	50-0815		
	(Attach duplicate copy of this page if paying by deposit account)		
DO NOT USE	THIS SPACE		
9. Statement and signature. To the best of my knowledge and belief, the foregoing info is a true copy of the original document. Paula A. Borden, Reg# 42,344	ormation is true and correct and any attached copy 07/02/2004		
Name of Person Signing Signatu	ore Date		
Total number of pages including cover sh			

ASSIGNMENT

U.C. Case No. 2002-372-2

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),



1. MUCKE, LENNART

PALOP, JORGE J.

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, Twelfth Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

"METHODS OF DETECTING NEUROLOGICAL DISORDERS"

and	whi	ch is	for	nd in
auu	will	CH 13	LOU	nu in

(a)	U.S. provisional application filed herewith and listing the above named persons as inventors
(b)	U.S. patent application filed herewith and listing the above named persons as inventors
(c) <u>X</u>	U.S. application serial no.10/809,777, filed on March 24, 2004
(d)	U.S. Patent No.:, issued

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC III(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filling date and serial number of said application when officially known.

AND the <u>ASSIGNOR(S)</u> requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

execute	ed this	Signature of Inventor(s)	
1)	22	day of June, 2004	Lunch Meckgucke, LENNART
2)		_ day of, 2004	PALOP, JORGE J.

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ASSIGNMENT

l	J.(С.	Case	No.	2002	-372-2

having its statewide administrative offices located 1111 Franklin Street, Twelfth Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the inventice entitled: "METHODS OF DETECTING NEUROLOGICAL DISORDERS" and which is found in U.S. provisional application filed herewith and listing the above named persons as inventors	
hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, Twelfth Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the inventice entitled: "METHODS OF DETECTING NEUROLOGICAL DISORDERS" and which is found in U.S. provisional application filed herewith and listing the above named persons as inventors	1
and which is found in (a) U.S. provisional application filed herewith and listing the above named persons as inventors	•
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(b) U.S. patent application filed herewith and listing the above named persons as inventors	
(c) X U.S. application serial no. <u>10/809,777</u> , filed on <u>March 24, 2004</u>	
(d) U.S. Patent No.:, issued	
and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Paters be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC III(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and rights under all International Conventions for the Protection of Industrial Property;	
ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or ente into which would conflict with this assignment;	red
ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention a said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.	
AND the <u>ASSIGNOR(S)</u> requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of Californ	
executed this Signature of Inventor(s)	
1) day of, 2004 MUCKE, LENNART	
2) 16 day of May , 2004 \(\sqrt{\gamma} \) PALOP, JORGE J.	